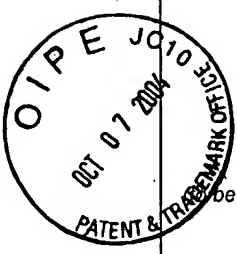


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TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application)		Application Number	10/665,097
		Filing Date	September 16, 2003
		First Named Inventor	John Barrus
		Group Art Unit Number	2173
		Examiner Name	Not yet known
Total Number of Pages in This Submission	10*	Attorney Docket Number	20412-07972

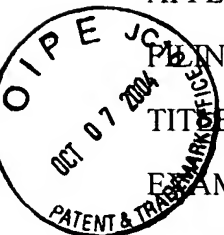
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: John Barrus, Stephen Savitzky, and Kurt Wesley Piersol
APPLICATION NO.: 10/665,097
FILING DATE: September 16, 2003
TITLE: Action Stickers for Identifying and Processing Stored Documents
EXAMINER: Not yet known
GROUP ART UNIT: 2173
ATTY. DKT. NO.: 20412-07972



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INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing

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date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

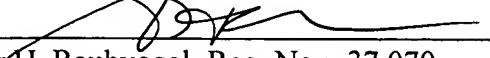
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- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☒ This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
John Barrus, Stephen Savitzky, and
Kurt Wesley Piersol

Dated: October 4, 2004

By: 
Amir H. Raubvogel, Reg. No.: 37,070
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/665,097
Filing Date	September 16, 2003
First Named Inventor	John Barrus
Art Unit	2173
Examiner Name	Not yet known
Attorney Docket Number	20412-07972

Sheet 1 of 6

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-2004/0090462 A1	05-13-2004	Graham
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		US-5,950,187	09-07-1999	Tsuda
		US-5,946,678	08-31-1999	Aalbersberg

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	10/665,097
				Filing Date	September 16, 2003
				First Named Inventor	John Barrus
				Art Unit	2173
				Examiner Name	Not yet known
Sheet	2	of	6	Attorney Docket Number	20412-07972

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-5,943,679	08-24-1999	Niles et al.
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		US-5,892,536	04-06-1999	Logan et al.
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		US-5,686,957	11-11-1997	Baker

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				Application No.	10/665,097
				Filing Date	September 16, 2003
				First Named Inventor	John Barrus
				Art Unit	2173
				Examiner Name	Not yet known
Sheet	3	of	6	Attorney Docket Number	20412-07972

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-5,680,636	10-21-1997	Levine et al.
		US-5,638,543	06-10-1997	Pedersen et al.
		US-5,596,700	01-21-1997	Damell et al.
		US-5,481,666	01-02-1996	Nguyen et al.
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		US-5,422,795	08-15-1995	Levine et al.
		US-5,418,948	05-23-1995	Turtle
		US-5,404,295	04-04-1995	Katz et al.
		US-5,384,703	01-24-1995	Withgott et al.
		US-5,349,658	09-20-1994	O'Rourke et al.
		US-5,309,359	05-03-1994	Katz et al.
		US-5,153,831	10-06-1992	Yianitos
		US-4,823,303	04-18-1989	Terasawa
		US-4,417,239	11-22-1983	Demke et al.

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		JP 8-297677 A	11-12-1996	Xerox Corporation	
		GB 2 290 898 A	01-10-1996	Canon Business Machines, Inc.	
		GB 2 234 609 A	02-06-1991	IBM Corporation	
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		GB 2 137 788 A	10-10-1984	Gavilan Computer Corporation	
		EP 1 001 605 A3	05-17-2000	Xerox Corporation	

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				Filing Date	September 16, 2003
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Sheet	4	of	6	Attorney Docket Number	20412-07972

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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		EP 802 492 A1	10-22-1997	IBM Corporation	
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		EP 737 927 A2	10-16-1996	Xerox Corporation	
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		EP 378 848 A2	07-05-1990	IBM Corporation	

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS					
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		FOOTE, J. et al., "Finding Presentations in Recorded Meeting Using Audio and Video Features." ICASPP, 3029-3032, 1999.			

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Sheet	5	of	6	Attorney Docket Number	20412-07972

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS			
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Examiner Signature		Date Considered	
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Sheet	6	of	6	Attorney Docket Number	20412-07972

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		SNOWDON, DAVE et al., "Diffusing Information in Organizational Settings: Learning from Experience," CHI 2002, Volume No. 4, Issue No. 1, April 20-25, Minneapolis, Minnesota, pp. 331-338.	
		STAUFFER, C. et al., "Adaptive Background Mixture Models for Real-Time Tracking," Proceedings of Computer Vision and Pattern Recognition, 246-252.	
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